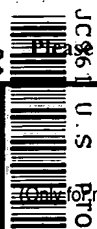


03/07/02

03-08 02

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No.	IN-9518
First Named Inventor	Luis Varela de la Rosa et al.
Filing Date	Thursday, March 07, 2002
Title	HIGH SOLIDS DISPERSION FOR WIDE TEMPERATURE, PRESSURE SENSITIVE ADHESIVE APPLICATIONS
Express Mail Certificate	EU171212451US

JC978 U.S. PTO
10/092679

03/07/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application, Washington, DC 20231

1. ☒ **Fee Transmittal Form (e.g. PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. ☐ **Applicant claims small entity status.**
See 37 CFR 1.27.
3. ☒ **Specification** [Total Pages **34**]
 - (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Application
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Background of the invention
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ **Drawing(s) (35 U.S.C.113)** [Total Sheets ☐]
5. ☐ **Oath or Declaration** [Total Sheets ☐]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR § 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
6. ☒ **Application Data Sheet.** See 37 CFR 1.76
7. ☐ **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
8. **Nucleotide and/or Amino Acid Sequence Submission**
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. **Specification Sequence Listing on:**
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ **Assignment Papers (cover sheet & document(s))**
10. ☐ **37 CFR§3.73(b) Statement** ☐ **Power Of Attorney**
(when there is an assignee)
11. ☐ **English Translation Document (if applicable)**
12. ☐ **Information Disclosure Statement (IDS)/ PTO-1449** ☐ **Copies of IDS Citations**
13. ☐ **Preliminary Amendment**
14. ☒ **Return Receipt Postcard (MPEP 503)**
(Should be specifically itemized)
15. ☐ **Certified Copy of Priority Document(s)**
(if foreign priority is claimed)
16. ☒ **other: ..Nonpublication Request.....**

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment;☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 0 filed on _____

Prior application information:

Examiner

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Labelor ☐ Correspondence address below**26922**

PATENT-TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2355	Fax	(248) 948-2093
Name (print Type)	Michael F. Morgan	Registration No. (Attorney Agent)	42,906		
Signature	<i>Michael Morgan</i>			Date	March 7, 2002

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 2001

Attorney Docket No.	IN 8
First Named Inventor	Lucretia de la Rosa et al.
Filing Date	Thursday, March 07, 2002
Title	High Solids Dispersion For Wide Temperature, Pressure Sensitive Adhesive Applications
Express Mail Certificate	EU171212451US

TOTAL AMOUNT OF PAYMENT \$1,568.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number **23-3425**

Deposit Account Name **BASF Corporation**

☒ Change Any Additional Fee ☒ Charge the Issue Fee Set in 37 CFR §
Required Under 37 CFR §§ 1.16 and 1.17 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code Entity Fee (\$)

101	740	Utility filing fee	\$740.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	160	Provisional filing fee	
SUBTOTAL			\$740.00
(2)			

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	66	-20** = 46	x 18	= \$828.00
Independent Claims	01	-3** =	x 84	=
Multiple Dependent			280	=

**Or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	
103	18	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claims, if not paid
109	82	**Reissue independent claims over original patent
110	22	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$828.00)

FEE CALCULATION

3. ADDITION FEES

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	Surcharge -late filing fee or oath	
127	50	provisional ailing or cover sheet	
139	130	Non-English specification	
147	2,520	For filing request for examination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive-unavoidable	
141	1,280	Petition to revive-unintentional	
142	1,280	Utility issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petition related to provisional application	
126	240	Submission of information Disclosure Statement	
581	40	Recording each patent Assignment per property (time number of properties)	
146	790	Filing a submission after final rejection	
149	790	For each additional invention to be examined (37 CFR 1.129(b)) (specify)	
Other	fee		

Reduced By Basic Fee Paid Filing

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or ☐ Correspondence address below



26922

PATENT-TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2355	Fax	(248) 948-2093
Name (print Type)	Michael F. Morgan	Registration No. (Attorney Agent)	42,906		
Signature	<i>Michael Morgan</i>	Date	March 7, 2002		

PATENT

(Practitioner's Docket No. IN-9518)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Luis Varela de la Rosa et al.

US Serial No. Herewith

Filed: Thursday, March 07, 2002

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: HIGH SOLIDS DISPERSION FOR WIDE TEMPERATURE, PRESSURE SENSITIVE
ADHESIVE APPLICATIONS

Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **EU171212451US** Date of Deposit **March 7, 2002**

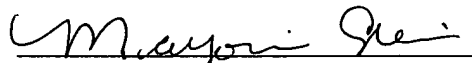
I hereby certify that the following attached paper or fee

☒ Nonpublication Request Under 35. U.S.C. 122(b)(2)(B)(i)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

10092679-030702

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Luis Varela de la Rosa, et al.

Title

High solids dispersion for wide temperature, pressure sensitive adhesive applications

Atty Docket Number

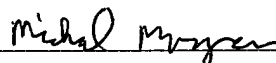
IN-9518

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 7, 2002

Date



Signature

Michael F. Morgan, 42,906

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

20020307-030702

PATENT

(Practitioner's Docket No. IN-9518)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Luis Varela de la Rosa et al.

US Serial No. Herewith

Filed: Thursday, March 07, 2002

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: HIGH SOLIDS DISPERSION FOR WIDE TEMPERATURE, PRESSURE SENSITIVE
ADHESIVE APPLICATIONS

Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EU171212451US Date of Deposit March 7, 2002

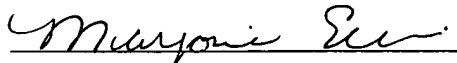
I hereby certify that the following attached paper or fee

x	Specification on	20	page(s)
x	Claims on	13	page(s)
x	Abstract on	01	page(s)
	Total pages	34	page(s)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



Signature of person mailing paper or fee)

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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

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2002 MAR 07 09:32:00

PATENT

(Practitioner's Docket No. IN-9518)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Luis Varela de la Rosa et al.

US Serial No. Herewith

Filed: Thursday, March 07, 2002

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: HIGH SOLIDS DISPERSION FOR WIDE TEMPERATURE, PRESSURE SENSITIVE ADHESIVE APPLICATIONS

Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **EU171212451US** Date of Deposit **March 7, 2002**

I hereby certify that the following attached paper or fee

☒ Patent Application Data Sheet

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10092679-030702